Docket No. 2251.2006-004

STATEMENT UNDER 37 CFR § 3.73(b)

Applicant/Patentee: Tzvi Avnery

Application No./Patent No.: 6,800,989 B2 Filed/Issue Date: October 5, 2004

For: Method of Forming Filament for Electron Beam Emitter (amended)

Advanced Electron Beams, Inc. (Name of Assignee), a corporation (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is

A. ☒ the assignee of the entire right, title and interest in the patent application identified above; or

B. ☐ an assignee together with [ ] of the entire right, title and interest in the patent application identified above.

The right, title and interest of the above-named assignee in the patent application identified above is established by virtue of:

A. ☐ An assignment from the inventor(s) of the patent application identified above. The assignment was recorded in the Patent and Trademark Office at Reel [ ], Frame [ ], or a copy thereof is attached.

OR

B. ☒ A chain of title from the inventor(s) of the patent application identified above, to the current assignee as shown below:

1. From: Tzvi Avnery To: Advanced Electron Beams, Inc.
The document was recorded in the United States Patent and Trademark Office at
Reel 023546, Frame 0686-0688, or a copy thereof is attached.

2. From: Advanced Electron Beams, Inc. To: Advanced Electron Beams, Inc.
The document was recorded in the United States Patent and Trademark Office at
Reel 023546, Frame 0689-0694, or a copy thereof is attached.

3. From: [ ] To: [ ]
The document was recorded in the United States Patent and Trademark Office at
Reel [ ], Frame [ ], or a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Signature

Name: Larry L. Mihalchik

Title: CFO & Treasurer

Date: Dec. 28, 2011